SUBSTITUTE FOR PTO-1390 (Rev. 07-2005)
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### ATTORNEY'S DOCKET NUMBER: TRANSMITTAL LETTER TO THE UNITED STATES **DANN 2 00001** DESIGNATED/ELECTED OFFICE (DO/EO/US) 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. 22 January 2004 19 January 2005 PCT/BR2005/000006 TITLE OF INVENTION A LINEAR MOTOR, A LINEAR COMPRESSOR, A METHOD OF CONTROLLING A LINEAR COMPRESSOR, A COOLING SYSTEM, AND A LINEAR COMPRESSOR CONTROLLING A SYSTEM APPLICANT(S) FOR DO/EO/US DAINEZ, Paulo Sergio, BERNHARDT LILIE, Dietmar Erich, and THIESSEN, Marcio Roberto Applicant submits herewith to the United States Designated/Elected Office (DO/EO/US) the following items and other information: ∑ This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. ∑ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). Attached are claim amendments submitted November 22, 2005 and received by the International Bureau on December 07, 2005, as referenced in the International Preliminary Report on Patentability. have been communicated by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement, related PTO-Form 1449 and references (if required) under 37 CFR 1.97 and 1.98. A power of attorney and/or change of address letter. 12. [ ] 13. Other items or information: A copy of the published application, WO 2005/071265 A1

### **CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10**

"Express Mail" Mailing Label Number: EV 830317615 US Date of Deposit: July 24, 2006

I hereby certify that the attached TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 and accompanying documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10, addressed to: MAIL STOP PCT, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated above.

Mary M: Seture

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an empirication. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this force, should be sent to the Chief Information Officer, U.S. Peter and Trademark Office. U.S. Department of Commence, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# IAP11 Rec'd PCT/PTO 24 JUL 2006

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	O. (if known, see 37	CFR 1.5)	INTERNATIONAL	APPLICATION NO.	ATTORNEY'S	DOCKET NO.
10/587234 PCT/BR2005/00000			0006	DANN 2 00001		
The following fees		ubmitted:			Calculations	PTO Use Only
14. Basic national fee (37 CFR 1.492(a))				\$300.00	\$300.00	
15. 🛭 Examinat	ion fee (37 CFR	1.492(c))	-			
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internation by IPEA/I of PCT A Search feather internation Internation other tha	ten opinion preponal preliminary of the state of the stat	examination r claims satisfy 15(a)(2)) has t ion to the USF Authority port prepared rovided to the	report prepared or provisions open paid on PTO as an DSA Office or	\$0 \$100.00 \$400.00		
All other situations \$500.00					\$400.00	
TOTAL OF 21, 22 and 23 = \$900.00						
oath or declaration 1.492(h)). The claim fees indientry of the amend	after the date of the control of the	of commence e based on the he Internation	ment of the nate	amination fee, or the tional stage (37 CFR laims remaining after ovember 22, 2005 in	\$0.00	
reply to the Written	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS		
Total Claims	19 - 20 =		x \$50	= \$0.00	\$0.00	
		0	x \$50	- \$0.00	\$0.00	
Independent	6 - 3 =	3	x \$200	= \$600.00	\$600.00	
Independent Claims Multiple Dependent	6 - 3 =		4			
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Independent Claims Multiple Dependent Claim(s) Additional fee for specification and drawings filed in paper over 100	Group(s): (Group = number of each additional 50	0	x \$200 x \$360	= \$600.00 = \$0.00 = \$0.00	\$600.00 \$0.00	
Independent Claims Multiple Dependent Claim(s) Additional fee for specification and drawings filed in paper over 100 sheets  Processing fee of than 30 months	Group(s): (Group = number of each additional 50 or fraction thereof)	0  TOTAL OF	x \$200 x \$360 x \$250  ABOVE CALCUEnglish translate	= \$600.00 = \$0.00 = \$0.00 LATIONS =	\$600.00 \$0.00 \$0.00	
Independent Claims Multiple Dependent Claim(s) Additional fee for specification and drawings filed in paper over 100 sheets  Processing fee of	Group(s): (Group = number of each additional 50 or fraction thereof)	0  TOTAL OF mishing the lest claimed	x \$200 x \$360 x \$250  ABOVE CALCUEnglish translate	= \$600.00 = \$0.00 = \$0.00 LATIONS = tion later 37 CFR +	\$600.00 \$0.00 \$0.00 \$1,500.00	
Independent Claims Multiple Dependent Claim(s) Additional fee for specification and drawings filed in paper over 100 sheets  Processing fee of than 30 months	Group(s): (Group = number of each additional 50 or fraction thereof)  \$130.00 for fur from the earlief the enclosed abe accompanies	TOTAL OF mishing the lest claimed	x \$200  x \$360  x \$250  ABOVE CALCU English translat priority date ( FOTAL NATION 37 CFR 1.21(r	= \$600.00 = \$0.00 = \$0.00 LATIONS = ion later 37 CFR + NAL FEE =	\$600.00 \$0.00 \$0.00 \$1,500.00	

This coclection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to De (and by the USPTO to process) an application Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the complete form to the USPTO. Then will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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to cover the above fees is enclosed. A check in the amount of \$ to cover the fees identified. A duplicate of this Please charge Deposit Account No. 06-0308 in the amount of \$ sheet is enclosed. Fees indicated above are to be charged to a credit card. The appropriate form PTO-2038 is enclosed for this purpose. If C. the Credit Card is unable to be charged, please charge any and all fees or credit any overpayment to Deposit Account No. 06-0308. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Timothy E. Mauma Signature **CUSTOMER NUMBER 027885** Timothy E. Nauman Fay, Sharpe, Fagan, Minnich & McKee, LLP 1100 Superior Avenue Timothy E. Nauman Seventh Floor Name Cleveland, OH 44114-2579 32,283 Registration Number 24 July 2006 Date

## 10/587234 IAP11 Rec'd PCT/PTO 24 JUL 2006

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November 22, 2005

Ref.:

PCT - Patent Application Nº PCT/BR2005/000006

filed on January 19, 2005

EMPRESA BRASILEIRA DE COMPRESSORES S.A. - EMBRACO

Our ref.: P130378-PCT (ffi)

Dear Sirs.

In reply to the written opinion mailed on May 11, 2005 together with the ISR, the applicant hereby wishes to file the following comments with respect to item V thereof.

The applicant has amended the set of claims based on the second embodiment disclosed in the application and now believes that the recited subject matter is new and inventive.

The specification was also amended to reflect the changes made to the claims.

Considering the above comments, the applicant expects to receive a favorable IPRP or the issuance of another written opinion prior to the IPRP if additional amendments are required.

Very truly yours,

Frank Fischer

frank@dannemann.com.br Agent for the applicant

Attachments: pages 8-22 of the specification and 23-27 of the claims

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> Agente da Propriedade Industrial Registro nº 192

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